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Substitute for form 1449B/PTO Complete if Known 09/830,779 **Application Number** 11/30/2001 INFORMATION DISCLOSURE Filing Date STATEMENT BY APPLICANT Kenneth Chien First Named Inventor 1645 **Group Art Unit** Duffy, Patricia Ann **Examiner Name** (use as many sheets as necessary) 041673-1201 1 of **Attorney Docket Number** Sheet

				U.S. PATENT DOCUMENTS		
		U.S. Patent Document			Date of Publication of	Pages, Columns, Lines, Where Relevant
Examiner Initials*	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
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			U.S	. PATENT APPLICATION DOCUM	MENTS	
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>				
NAO	A1	HAJJAR et al., Modulation of ventricular function through gene transfer in vivo, Proc. Natl. Acad. Sci. USA, April 1998, pp. 5251-5256, Vol. 95					
PAG	A2	INESI et al., Cell-specific promoter in adenovirus vector for transgenic expression of SERCA1 ATPase in cardiac myocytes, Am J Physiol Cell Physiol, March 1998, pp. C645-C653, Vol. 274, No. 3					

Examiner Signature	PATRICIA ADUTY	Date Considered	2/21/04

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	Substitute f	or form 14498	B/PTO		Complete if Known	
				Application Number	09/830,779	
	INFORMAT	ION DISCLO	OSURE	Filing Date	11/30/2001	
	STATEMEN	IT BY APPL	ICANT	First Named Inventor	Kenneth Chien	
				Group Art Unit	1645	
	(use as many	she <u>ets as n</u>	ecessary)	Examiner Name	Duffy, Patricia Ann	
Sheet	2	of	2	Attomey Docket Number	041673-1201	

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FAD A3 F		ROMANO et al., Gene Transfer Technology in Therapy: Current Applications and Future Goals, The Oncologist, 1998, pp. 225-236, Vol. 3						
	A4 PRASAD et al., Phenotypes of SERCA and PMCA knockout mice, Biochemical and Biophysical Resear Communications, 2004, pp. 1192-1203, Vol. 322							
	A5	VERMA et al., Gene therapy – promises, problems and prospects, Nature, 18 September 1997, pp. 239-242, Vol. 389						
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